

Format of Holding of Specified securities

1. Name of Listed Entity: MAHINDRA & MAHINDRA LIMITED
2. Scrip Code/Name of Scrip/Class of Security: 500520 / M&M / EQUITY SHARES
3. Share Holding Pattern Filed under: Reg. ~~31(1)(a)~~/Reg.31(1)(b)/Reg.~~31(1)(c)~~
 - a. if under 31(1)(b) then indicate the report for quarter ending: 31/12/2020
 - b. if under 31(1)(c) then indicate date of allotment/extinguishment: N.A.
4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	YES*	NO*
1	Whether the Listed Entity has issued any partly paid up shares		√
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		√
3	Whether the Listed Entity has any shares against which depository receipts are issued?	√	
4	Whether the Listed Entity has any shares in locked-in?		√
5	Whether any shares held by promoters are pledge or otherwise encumbered?	√	
6	Whether company has equity shares with differential voting rights?		√
7	Whether the listed entity has any significant beneficial owner?	√	

*if the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category (I)	Category of Shareholder (II)	Nos. of Shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. of shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No.(a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: Y	Total								
(I)	(II)																	
(A)	Promoter & Promoter Group	23	234824018	0	0	234824018	19.44	234824018	0	234824018	18.89	0	19.44	0	0	3140000	1.34	234824018
(B)	Public	410323	922749342	0	0	922749342	76.40	922749342	0	922749342	74.22	0	76.40	0	0	NA		917310891
(C)	Non Promoter-Non Public	5	50174587	0	35444597	85619184	4.15	85619184	0	85619184	6.89	0	4.15	0	0	NA		85619184
(C1)	Shares underlying DRs	1	0	0	35444597	35444597	NA	35444597	0	35444597	2.85	0	NA	0	0	NA		35444597
(C2)	Shares held by Employee Trusts	4	50174587	0	0	50174587	4.15	50174587	0	50174587	4.04	0	4.15	0	0	NA		50174587
	Total:	410351	1207747947	0	35444597	1243192544	100.00	1243192544	0	1243192544	100.00	0	100.00	0	0	3140000	0.25	1237754093

Public shareholding Includes 23,42,001 shares held by Investor Education and Protection Fund Authority and 5,77,914 shares held under Unclaimed Suspense Account, on which voting rights are frozen.

The Trustees of Employee Trusts cannot vote in respect of shares held by such trust pursuant to SEBI (Share Based Employee Benefits) Regulations, 2014.

(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	1	775244	0	0	775244	0.06	775244	0	775244	0.06	0	0.06	0	0	0	0	775244
	YUTHICA KESHUB MAHINDRA	1	775244	0	0	775244	0.06	775244	0	775244	0.06	0	0.06	0	0.00	0	0.00	775244
(b)	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(2)	1	775244	0	0	775244	0.06	775244	0	775244	0.06	0	0.06	0	0	0	0	775244
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	23	234824018	0	0	234824018	19.44	234824018	0	234824018	18.89	0	19.44	0	0.00	3140000	1.34	234824018

§ Without consolidating on the folios on the basis of PAN, 'Promoter & Promoter Group' holds under 32 folios.

Keshub Mahindra is holding shares jointly under 2 folios with different shareholders. The shareholding under both folios is consolidated on basis of PAN.

* Sudha K Mahindra is Holding shares jointly under 5 folios with different share holders. The shareholding under all 5 folios is consolidated on the basis of PAN.

Details of the Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/ unclaimed suspense account, voting rights which are frozen etc. :- **NIL**

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of Shares underlying Depository Receipts (VI)	Total nos. of Shares Held (VII=IV+V+VI)	Shareholding % calculated as per SCR, 1957 As a % of shares (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No.(a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total Shares held (Not applicable) (b)
								Class X	Class Y	Total								
(1)	Institutions																	
(a)	Mutual Funds	35	130042433	0	0	130042433	10.77	130042433	0	130042433	10.46	0	10.77	0	0.00	NA	130042433	
	SBI-ETF NIFTY 50 ALONG WITH SUB ACCOUNTS	1	33832548	0	0	33832548	2.80	33832548	0	33832548	2.72	0	2.80	0	0.00	NA	33832548	
	ICICI PRUDENTIAL VALUE FUND ALONG WITH ITS SUB ACCOUNTS	1	29074604	0	0	29074604	2.41	29074604	0	29074604	2.34	0	2.41	0	0.00	NA	29074604	
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(c)	Alternate Investment Funds	21	1148866	0	0	1148866	0.10	1148866	0	1148866	0.09	0	0.10	0	0.00	NA	1148866	
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(e)	Foreign Portfolio Investors (including FILs & FPC's)	750	457792467	0	0	457792467	37.90	457792467	0	457792467	36.82	0	37.90	0	0.00	NA	457792403	
	FIRST STATE INVESTMENTS ICVC- STEWART INVESTORS ASIA PACIFIC LEADERS FUND	1	44426559	0	0	44426559	3.68	44426559	0	44426559	3.57	0	3.68	0	0.00	NA	44426559	
	CAISSE DE DEPOT ET PLACEMENT DU QUEBEC ALONG WITH ITS SUB ACCOUNT	1	23585554	0	0	23585554	1.95	23585554	0	23585554	1.90	0	1.95	0	0.00	NA	23585554	
	KUWAIT INVESTMENT AUTHORITY FUND ALONG WITH ITS SUB ACCOUNTS	1	16789120	0	0	16789120	1.39	16789120	0	16789120	1.35	0	1.39	0	0.00	NA	16789120	
	GOVERNMENT PENSION FUND GLOBAL	1	16676587	0	0	16676587	1.38	16676587	0	16676587	1.34	0	1.38	0	0.00	NA	16676587	
	GOVERNMENT OF SINGAPORE	1	13985131	0	0	13985131	1.16	13985131	0	13985131	1.12	0	1.16	0	0.00	NA	13985131	
(f)	Financial Institutions/Banks	24	2091651	0	0	2091651	0.17	2091651	0	2091651	0.17	0	0.17	0	0.00	NA	2029115	
(g)	Insurance Companies	6	129771046	0	0	129771046	10.74	129771046	0	129771046	10.44	0	10.74	0	0.00	NA	129771046	
	LIFE INSURANCE CORPORATION OF INDIA ALONG WITH ITS SUB ACCOUNTS	1	103908436	0	0	103908436	8.60	103908436	0	103908436	8.36	0	8.60	0	0.00	NA	103908436	
(h)	Provident Funds/Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(i)	Any Other (specify)	30	77898013	0	0	77898013	6.45	77898013	0	77898013	6.27	0	6.45	0	0.00	NA	77898013	
	QUALIFIED INSTITUTIONAL BUYERS	30	77898013	0	0	77898013	6.45	77898013	0	77898013	6.27	0	6.45	0	0.00	NA	77898013	
	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED AL	1	18546530	0	0	18546530	1.54	18546530	0	18546530	1.49	0	1.54	0	0.00	NA	18546530	
	NPS TRUST ALONG WITH SUB ACCOUNTS	1	15486145	0	0	15486145	1.28	15486145	0	15486145	1.25	0	1.28	0	0.00	NA	15486145	

	SBI LIFE INSURANCE CO. LTD	1	13618622	0	0	13618622	1.13	13618622	0	13618622	1.10	0	1.13	0	0.00	NA	13618622
	Sub Total (B)(1)	866	798744476	0	0	798744476	66.14	798744476	0	798744476	64.25	0	66.14	0	0	NA	798681876
(2)	Central Government/State Government(s)/President of India	3	2929490	0	0	2929490	0.24	2929490	0	2929490	0.24	0	0.24	0	0.00	NA	2045226
	Sub Total (B)(2)	3	2929490	0	0	2929490	0.24	2929490	0	2929490	0.24	0	0.24	0	0	NA	2045226
(3)	Non-Institutions																
(a)	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs	395827	86779787	0	0	86779787	7.19	86779787	0	86779787	6.98	0	7.19	0	0.00	NA	82901142
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs	160	17201548	0	0	17201548	1.42	17201548	0	17201548	1.38	0	1.42	0	0.00	NA	16858916
(b)	NBFCs Registered with RBI	6	6810	0	0	6810	0.00	6810	0	6810	0.00	0	0.00	0	0.00	NA	6810
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(d)	Overseas Depositories (Holding DRs)(Balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(e)	Any Other (specify)	13461	17087231	0	0	17087231	1.41	17087231	0	17087231	1.37	0	1.41	0	0	NA	16816921
	TRUSTS	41	130109	0	0	130109	0.01	130109	0	130109	0.01	0	0.01	0	0.00	NA	130109
	OVERSEAS CORPORATE BODIES	3	652964	0	0	652964	0.05	652964	0	652964	0.05	0	0.05	0	0.00	NA	652964
	NON RESIDENT INDIANS	7413	2158295	0	0	2158295	0.18	2158295	0	2158295	0.17	0	0.18	0	0.00	NA	2040717
	NRI NON-REPATRIATION	3872	2872123	0	0	2872123	0.24	2872123	0	2872123	0.23	0	0.24	0	0.00	NA	2782467
	CLEARING MEMBERS	305	1132636	0	0	1132636	0.09	1132636	0	1132636	0.09	0	0.09	0	0.00	NA	1132636
	BODIES CORPORATES	1819	7168034	0	0	7168034	0.59	7168034	0	7168034	0.58	0	0.59	0	0.00	NA	7104958
	FOREIGN CORPORATE BODIES	1	51070	0	0	51070	0.00	51070	0	51070	0.00	0	0.00	0	0.00	NA	51070
	FOREIGN NATIONALS	5	2085	0	0	2085	0.00	2085	0	2085	0.00	0	0.00	0	0.00	NA	2085
	INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY MINISTRY OF CORPORATE AFFAIRS	1	2342001	0	0	2342001	0.19	2342001	0	2342001	0.19	0	0.19	0	0.00	NA	2342001
	UNCLAIMED SUSPENSE ACCOUNT	1	577914	0	0	577914	0.05	577914	0	577914	0.05	0	0.05	0	0.00	NA	577914
	Sub Total (B)(3)	409454	121075376	0	0	121075376	10.02	121075376	0	121075376	9.74	0	10.02	0	0	NA	116583789
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	410323	922749342	0	0	922749342	76.40	922749342	0	922749342	74.22	0	76.40	0	0.00	NA	917310891

Details of the shareholders acting as persons in concert including their shareholding(No. and %)

Details of shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. - As per Annexure 'A'

- Note:
- (1) PAN would not be displayed on website of Stock Exchange(s).
 - (2) The above format needs to be disclosed along with the name of following persons: Institutions /Non institutions holding more than 1% of total number of shares.
 - (3) w.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Mahindra & Mahindra Limited

Details of shares which remain unclaimed along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Annexure A

Sl No.	Description	No. of shareholders	No. of shares
1	Unclaimed Shares in Suspense Account	2493	577914

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

	Category & Name of the Shareholder (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of Shares Underlying Depository Receipts (VI)	Total no. of shares held (VII)=IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of (A+B+C2)	Number of Locked in Shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total Shares held (Not applicable)
								Class X	Class Y	Total								
(1)	Custodian/DR Holder	1	0	0	35444597	35444597	NA	35444597	0	35444597	2.85	0	0.00	0	0	NA	35444597	
(a)	JP MORGAN CHASE BANK, NA (Shares held as Custodians against which Global Depository Receipts have been issued)	1	0	0	35444597	35444597	NA	35444597	0	35444597	2.85	0	0.00	0	0.00	NA	35444597	
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014)	4	50174587	0	0	50174587	4.15	50174587	0	50174587	4.04	0	4.15	0	0.00	NA	50174587	
	MAHINDRA AND MAHINDRA EMPLOYEES STOCK OPTION TRUST	1	48896847	0	0	48896847	4.05	48896847	0	48896847	3.93	0	4.05	0	0.00	NA	48896847	
	Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)	5	50174587	0	35444597	85619184	NA	85619184	0	85619184	6.89	0	NA	0	0	NA	85619184	

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclose name of all holders holding more than 1% of total no of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

Table V- Statement showing details of significant beneficial owners (SBOs)														
Sr. No.	Details of the SBO				Details of the registered owner				Details of holding/ exercise of right of the SBO in the reporting					Date of creation / acquisition of significant beneficial interest# (IV)
	Name	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
									Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	ANAND GOPAL MAHINDRA		Indian		PRUDENTIAL MANAGEMENT & SERVICES PRIVATE LIMITED		Indian		11.38	0.00	0.00	No	No	8th February, 2019
2	KESHUB MAHINDRA		Indian				Indian		11.38	0.00	0.00	No	No	8th February, 2019
3	ANURADHA MAHINDRA		Indian				Indian		11.38	0.00	0.00	No	No	8th February, 2019
4	SUDHA KESHUB MAHINDRA		Indian				Indian		11.38	0.00	0.00	No	No	8th February, 2019
5	LEENA S LABROO		Indian				Indian		11.38	0.00	0.00	No	No	8th February, 2019
6	UMA R MALHOTRA		Indian				Indian		11.38	0.00	0.00	No	No	8th February, 2019
7	KESHUB MAHINDRA		Indian		KEMA SERVICES (INTERNATIONAL) PRIVATE LIMITED		Indian		0.06	0.00	0.00	No	No	8th February, 2019
8	SUDHA KESHUB MAHINDRA		Indian				Indian		0.06	0.00	0.00	No	No	8th February, 2019
9	LEENA S LABROO		Indian				Indian		0.06	0.00	0.00	No	No	8th February, 2019
10	UMA R MALHOTRA		Indian				Indian		0.06	0.00	0.00	No	No	8th February, 2019
11	YUTHICA KESHUB MAHINDRA	522461204	United States of America			Indian		0.06	0.00	0.00	No	No	8th February, 2019	
12	ANAND GOPAL MAHINDRA		Indian		ANAND GOPAL MAHINDRA (Direct Holding)		Indian		0.12	0.00	0.00	No	No	8th February, 2019
13	KESHUB MAHINDRA		Indian		KESHUB MAHINDRA (Direct Holding)		Indian		0.07	0.00	0.00	No	No	8th February, 2019
14	ANURADHA MAHINDRA		Indian		ANURADHA MAHINDRA (Direct Holding)		Indian		0.04	0.00	0.00	No	No	8th February, 2019
15	SUDHA KESHUB MAHINDRA		Indian		SUDHA KESHUB MAHINDRA (Direct Holding)		Indian		0.12	0.00	0.00	No	No	8th February, 2019
16	LEENA S LABROO		Indian		LEENA S LABROO (Direct Holding)		Indian		0.10	0.00	0.00	No	No	8th February, 2019
17	UMA R MALHOTRA		Indian		UMA R MALHOTRA (Direct Holding)		Indian		0.08	0.00	0.00	No	No	8th February, 2019
18	YUTHICA KESHUB MAHINDRA	522461204	United States of America		YUTHICA KESHUB MAHINDRA (Direct Holding)	522461204	United States of America		0.06	0.00	0.00	No	No	8th February, 2019

* In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

*Please note that the voting rights and Rights on distributable dividend of SBOs are same like shares.

This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar.