

10<sup>th</sup> March, 2022

National Stock Exchange of India Limited  
"Exchange Plaza", 5<sup>th</sup> Floor,  
Plot No.C/1, G Block  
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Bandra (East), Mumbai 400051.

BSE Limited  
Phiroze Jeejeebhoy Towers,  
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Mumbai 400001.

Bourse de Luxembourg  
Societe de la Bourse de Luxembourg  
Societe Anonyme/R.C.B. 6222,  
B.P. 165, L-2011 Luxembourg.

London Stock Exchange Plc  
10 Paternoster Square  
London EC4M 7LS.

**Sub: Intimation - Details of Litigation(s) as required under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

This refers to the earlier disclosures given by the Company regarding the complaint filed by Fiat Chrysler Automobile US, LLC ("FCA") pertaining to the Trade Design of the Roxor Vehicle with the United States International Trade Commission ("ITC") against the Company and Mahindra Automotive North America ("MANA"), a subsidiary of the Company.

We are giving details of the development in relation to the proceedings, as required under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD/4/2015 dated 9<sup>th</sup> September, 2015 in Annexure A.

We are enclosing a Summary of the earlier disclosures made by the Company in Annexure B along with a copy of the last intimation dated 24<sup>th</sup> December, 2020 in Annexure C.

Yours faithfully,  
For MAHINDRA & MAHINDRA LIMITED



NARAYAN SHANKAR  
COMPANY SECRETARY

Encl: a/a

## Annexure A

Sr. No	Regularly till the litigation is concluded or dispute is resolved:	
(a)	the details of any change in the status and / or any development in relation to such proceedings;	MANA and FCA had appealed the International Trade Commission's (ITC) findings on the ROXOR vehicle before the United States Court of Appeals for the Federal Circuit.  The said Court affirmed the decisions of the ITC dated 12 <sup>th</sup> June, 2020 and 24 <sup>th</sup> December, 2020.  MANA will continue to sell the Post 2020 ROXOR Vehicle in the US.
(b)	in the case of litigation against key management personnel or its promoter or ultimate person in control, regularly provide details of any change in the status and / or any development in relation to such proceedings.	Not applicable
(c)	in the event of settlement of the proceedings, details of such settlement including - terms of the settlement, compensation/penalty paid (if any) and impact of such settlement on the financial position of the Company.	Not applicable

## **Annexure B**

### **Summary of disclosures made by the Company regarding the complaint filed by Fiat Chrysler Automobile US, LLC ("FCA") pertaining to the Trade Design of Roxor Vehicle with the United States International Trade Commission ("ITC") against the Company and Mahindra Automotive North America ("MANA"), a subsidiary of the Company.**

The Company had earlier intimated the Stock Exchanges about the following:

- i. 29th August, 2018: Informing the complaint filed by FCA with the ITC against the Company and commencement of a proceeding in the Federal District Court at Michigan by the Company and MANA;
- ii. 7th January, 2019 : informed about the "Initial Determination Order" from ITC.
- iii. 29th November, 2019 : informed that the Administrative Law Judge has in his Initial Determination found that while the design of the Roxor vehicle does not violate any of FCA's registered trademarks, it violated FCA's trade dress and recommended an exclusion order prohibiting the importation of Roxor parts and a cease-and desist order prohibiting sale of any already imported Roxor parts. The ITC will consider the Initial Determination and review applications filed by the parties and make a Final Determination.
- iv. 12th June, 2020 : informed that the International Trade Commission has upheld the Administrative Law Judge's determination which held that the ROXOR does not violate any of FCA's registered trademarks, but it violated FCA's trade dress and had recommended an exclusion order prohibiting the importation of Roxor parts and a cease-and desist order prohibiting sale of any already imported Roxor parts. The Company and Mahindra Automotive North America, a Subsidiary of the Company ("MANA") remain resolute in its position that the ROXOR does not dilute or violate Jeep's trade dress. MANA is therefore considering its options with respect to a further review and appeal of the ITC Determination both during the Presidential review phase of the ITC decision and at the Federal Circuit Court of Appeals.

The vehicle that was subject of the action was produced in 2018 and 2019 and is no longer in production. The ROXOR design was refreshed for the 2020 model year and further design changes are in the works as part of the normal design cycle.

The Company had further disclosed in its Annual Report for FY 2020-21 that both (i) M&M's appeal of the ITC's original ruling that the 2018 and 2019 models were infringing and (ii) FCA's appeal of the ITC's ruling that the FY 2021 design is non-infringing were pending before the Federal Circuit Court.

- v. 23rd October, 2020 : informed that the International Trade Commission ("ITC") Administrative Law Judge ("ALJ") has completed his review of the redesigned 2021 ROXOR and in his opinion, has determined that it does not violate the so called "Jeep Trade Dress". The ALJ is recommending that the ITC confirm these findings and modify the orders previously issued in this dispute to reflect they do not cover the 2021 ROXOR design. The Company and MANA will now look forward to International Trade Commission's confirmation of the ALJ's recommendation.



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- vi. 24<sup>th</sup> December, 2020: informed that the Company and MANA had received a notice of confirmation by the International Trade Commission (“ITC”) that the Post 2020 ROXOR design does not infringe on the Jeep Trade Dress. The ITC said that having reviewed the record of the underlying violation investigation, as well as the record of the modification proceeding, including the parties’ comments and responses thereto, it has determined to modify the Limited Exclusion Orders and the Cease and Desist Orders in this matter to include an explicit exemption with respect to Mahindra’s Post-2020 ROXOR vehicle. The ITC has adopted the findings of the Administrative Law Judge and affirmed the conclusion that the Post-2020 ROXOR vehicle does not infringe FCA’s asserted trade dress. With this order the modification proceeding was terminated.

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**Mahindra & Mahindra Ltd.**

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24<sup>th</sup> December, 2020

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**Sub: Intimation - Details of Litigation(s) as required under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

The Company had vide its letter dated 29<sup>th</sup> August, 2018 informed about the complaint filed by Fiat Chrysler Automobile US, LLC ("FCA") with the United States International Trade Commission ("ITC") against the Company and commencement of a proceeding in the Federal District Court at Michigan by the Company and Mahindra Automotive North America ("MANA"), a subsidiary of the Company.

Further, the Company had vide its letters dated:

- i. 7<sup>th</sup> January, 2019 : informed about the "Initial Determination Order" from ITC.
- ii. 29<sup>th</sup> November, 2019 : informed that the Administrative Law Judge has in his Initial Determination found that while the design of the Roxor vehicle does not violate any of FCA's registered trademarks, it violated FCA's trade dress and recommended an exclusion order prohibiting the importation of Roxor parts and a cease-and desist order prohibiting sale of any already imported Roxor parts. The ITC will consider the Initial Determination and review applications filed by the parties and make a Final Determination.
- iii. 12<sup>th</sup> June, 2020 : informed that the International Trade Commission has upheld the Administrative Law Judge's determination which held that the ROXOR does not violate

any of FCA's registered trademarks, but it violated FCA's trade dress and had recommended an exclusion order prohibiting the importation of Roxor parts and a cease-and desist order prohibiting sale of any already imported Roxor parts. The Company and MANA remain resolute in its position that the ROXOR does not dilute or violate Jeep's trade dress. MANA is therefore considering its options with respect to a further review and appeal of the ITC Determination both during the Presidential review phase of the ITC decision and at the Federal Circuit Court of Appeals.

- iv. 23<sup>rd</sup> October, 2020 : informed that the "ITC Administrative Law Judge ("ALJ") has completed his review of the redesigned 2021 ROXOR and in his opinion, has determined that it does not violate the so called "Jeep Trade Dress". The ALJ is recommending that the ITC confirm these findings and modify the orders previously issued in this dispute to reflect they do not cover the 2021 ROXOR design.

We are giving the details of development in relation to the proceedings, as required under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD/4/2015 dated 9<sup>th</sup> September, 2015 in Annexure A.

The copy of the letter dated 23<sup>rd</sup> October, 2020 is attached as Annexure B.

Yours faithfully,  
For MAHINDRA & MAHINDRA LIMITED



NARAYAN SHANKAR  
COMPANY SECRETARY

Encl: a/a

## Annexure A

Sr. No	Regularly till the litigation is concluded or dispute is resolved:	
(a)	the details of any change in the status and / or any development in relation to such proceedings;	<p>The Company and Mahindra Automotive North America, a Subsidiary of the Company ("MANA") have received a notice of confirmation by the International Trade Commission ("ITC") that the Post 2020 ROXOR design does not infringe on the Jeep Trade Dress.</p> <p>The ITC said that having reviewed the record of the underlying violation investigation, as well as the record of the modification proceeding, including the parties' comments and responses thereto, it has determined to modify the Limited Exclusion Orders and the Cease and Desist Orders in this matter to include an explicit exemption with respect to Mahindra's Post-2020 ROXOR vehicle.</p> <p>The ITC has adopted the findings of the Administrative Law Judge and affirmed the conclusion that the Post-2020 ROXOR vehicle does not infringe FCA's asserted trade dress. With this order the modification proceeding was terminated.</p>
(b)	in the case of litigation against key management personnel or its promoter or ultimate person in control, regularly provide details of any change in the status and / or any development in relation to such proceedings.	Not applicable
(c)	in the event of settlement of the proceedings, details of such settlement including - terms of the settlement, compensation/penalty paid (if any) and impact of such settlement on the financial position of the Company.	No applicable



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23<sup>rd</sup> October, 2020

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We had vide our letter dated 29<sup>th</sup> August, 2018 informed about the complaint filed by Fiat Chrysler Automobile US, LLC ("FCA") with the United States International Trade Commission ("ITC") against the Company and commencement of a proceeding in the Federal District Court at Michigan by the Company and Mahindra Automotive North America ("MANA"), a subsidiary of the Company.

Further, we had vide our letters dated:

- (i) 7<sup>th</sup> January, 2019 informed about the "Initial Determination Order" from ITC.
- (ii) 29<sup>th</sup> November, 2019 informed that the Administrative Law Judge has in his Initial Determination found that while the design of the Roxor vehicle does not violate any of FCA's registered trademarks, it violated FCA's trade dress and recommended an exclusion order prohibiting the importation of Roxor parts and a cease-and desist order prohibiting sale of any already imported Roxor parts. The ITC will consider the Initial Determination and review applications filed by the parties and make a Final Determination.
- (iii) 12<sup>th</sup> June, 2020 informed that the International Trade Commission has upheld the Administrative Law Judge's determination which held that the ROXOR does not violate any of FCA's registered trademarks, but it violated FCA's trade dress and had recommended an



exclusion order prohibiting the importation of Roxor parts and a cease-and desist order prohibiting sale of any already imported Roxor parts. The Company and Mahindra Automotive North America, a Subsidiary of the Company ("MANA") remain resolute in its position that the ROXOR does not dilute or violate Jeep's trade dress. MANA is therefore considering its options with respect to a further review and appeal of the ITC Determination both during the Presidential review phase of the ITC decision and at the Federal Circuit Court of Appeals.

We are giving the details of development in relation to the proceedings, as required under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD/4/2015 dated 9<sup>th</sup> September, 2015 in Annexure A.

Copy of the letter dated 12<sup>th</sup> June, 2020 is attached as Annexure B.

Yours faithfully,  
For MAHINDRA & MAHINDRA LIMITED



NARAYAN SHANKAR  
COMPANY SECRETARY

Encl: a/a

## Annexure A

Sr. No	Regularly till the litigation is concluded or dispute is resolved :	
(a)	the details of any change in the status and / or any development in relation to such proceedings;	<p>The International Trade Commission ("ITC") Administrative Law Judge ("ALJ") has completed his review of the redesigned 2021 ROXOR and in his opinion, has determined that it does not violate the so-called "Jeep Trade Dress".</p> <p>The ALJ is recommending that the ITC confirm these findings and modify the orders previously issued in this dispute to reflect they do not cover the 2021 ROXOR design.</p> <p>The Company and MANA will now look forward to International Trade Commission's confirmation of the ALJ's recommendation.</p>
(b)	in the case of litigation against key management personnel or its promoter or ultimate person in control, regularly provide details of any change in the status and / or any development in relation to such proceedings.	Not applicable
(c)	in the event of settlement of the proceedings, details of such settlement including - terms of the settlement, compensation/penalty paid (if any) and impact of such settlement on the financial position of the Company.	Not applicable

# Mahindra

**Mahindra & Mahindra Ltd.**  
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Further, we had vide our letters dated:

- (i) 7<sup>th</sup> January, 2019 informed about the "Initial Determination Order" from ITC.
- (ii) 29<sup>th</sup> November, 2019 informed that the Administrative Law Judge has in his Initial Determination found that while the design of the Roxor vehicle does not violate any of FCA's registered trademarks, it violated FCA's trade dress and recommended an exclusion order prohibiting the importation of Roxor parts and a cease-and desist order prohibiting sale of any already imported Roxor parts. The ITC will consider the Initial Determination and review applications filed by the parties and make a Final Determination.

We are giving the details of development in relation to the proceedings, as required under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD/4/2015 dated 9<sup>th</sup> September, 2015 in Annexure A.

Copy of the letter dated 29<sup>th</sup> November, 2019 is attached as Annexure B.

This is for your information.

Yours faithfully,  
For MAHINDRA & MAHINDRA LIMITED



NARAYAN SHANKAR  
COMPANY SECRETARY

**Annexure A**

<b>Sr. No</b>	<b>Regularly till the litigation is concluded or dispute is resolved :</b>	
(a)	the details of any change in the status and / or any development in relation to such proceedings;	<p>The International Trade Commission has upheld the Administrative Law Judge's determination which held that the ROXOR does not violate any of FCA's registered trademarks, but it violated FCA's trade dress and had recommended an exclusion order prohibiting the importation of Roxor parts and a cease-and-desist order prohibiting sale of any already imported Roxor parts.</p> <p>The Company and Mahindra Automotive North America, a Subsidiary of the Company ("MANA") remain resolute in its position that the ROXOR does not dilute or violate Jeep's trade dress.</p> <p>MANA is therefore considering its options with respect to a further review and appeal of the ITC Determination both during the Presidential review phase of the ITC decision and at the Federal Circuit Court of Appeals.</p> <p>The vehicle that was subject of the action was produced in 2018 and 2019 and is no longer in production. The ROXOR design was refreshed for the 2020 model year and further design changes are in the works as part of the normal design cycle.</p> <p>MANA remains committed to the ROXOR brand, its employees, and its dealers.</p>
(b)	in the case of litigation against key management personnel or its promoter or ultimate person in control, regularly provide details of any change in the status and / or any development in relation to such proceedings.	Not applicable
(c)	in the event of settlement of the proceedings, details of such settlement including - terms of the settlement, compensation/penalty paid (if any) and impact of such settlement on the financial position of the Company.	Not applicable

# Mahindra

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Dear Sirs,

We had vide our letter dated 29<sup>th</sup> August, 2018 informed about the complaint filed by Fiat Chrysler Automobile US, LLC ("FCA") with the United States International Trade Commission ("ITC") against the Company and commencement of a proceeding in the Federal District Court at Michigan by the Company and Mahindra Automotive North America ("MANA"), a subsidiary of the Company.

Further, we had vide our letter dated 7<sup>th</sup> January, 2019 informed about the "Initial Determination Order" from ITC.

We are giving the details of development in relation to the proceedings, as required under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD/4/2015 dated 9<sup>th</sup> September, 2015 in Annexure A.

The copies of the earlier letters dated 29<sup>th</sup> August, 2018 and 7<sup>th</sup> January, 2019 are also enclosed.

Yours faithfully,  
For MAHINDRA & MAHINDRA LIMITED

  
NARAYAN SHANKAR  
COMPANY SECRETARY

Encl.: as above

## Annexure A

Sr. No	Regularly till the litigation is concluded or dispute is resolved :	
(a)	the details of any change in the status and / or any development in relation to such proceedings;	<p>In the complaint filed by Fiat Chrysler (FCA) before the United States International Trade Commission (ITC), the Administrative Law Judge has in his Initial Determination found that while the design of the Roxor vehicle does not violate any of FCA's registered trademarks, it violated FCA's trade dress and recommended an exclusion order prohibiting the importation of Roxor parts and a cease-and-desist order prohibiting sale of any already imported Roxor parts.</p> <p>The Initial Determination made by the Administrative Law Judge of the ITC is a non-binding recommendation to the ITC and the Company has asked the same to be reviewed.</p> <p>The ITC will consider the Initial Determination and review applications filed by the parties and make a Final Determination.</p> <p>Meanwhile, FCA has filed a counterclaim in the proceeding filed by the Company before the Eastern District Court of Michigan, seeking a permanent injunction on manufacture or sales of the Roxor, as well as disgorgement of any profits made by the Company from sales of the Roxor.</p> <p>If FCA succeeds in getting a permanent injunction, then Mahindra Automotive North America, a subsidiary of the Company will no longer be able to sell Roxors in the US. The Company believes that no claim for disgorgement of profits arise.</p>

(b)	in the case of litigation against key management personnel or its promoter or ultimate person in control, regularly provide details of any change in the status and / or any development in relation to such proceedings.	Not Applicable
(c)	in the event of settlement of the proceedings, details of such settlement including - terms of the settlement, compensation/penalty paid (if any) and impact of such settlement on the financial position of the Company.	Not Applicable



REF:NS:SEC:

7<sup>th</sup> January, 2019

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**Sub: Intimation – Details of Litigation(s) as required under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sirs,

This is in furtherance to our letter dated 29<sup>th</sup> August, 2018 on the captioned subject wherein we had informed about the complaint filed by Fiat Chrysler Automobile US, LLC ("Fiat") with the United States International Trade Commission ("ITC") against the Company and commencement of a proceeding in the Federal District Court at Michigan by the Company and Mahindra Automotive North America ("MANA"), a subsidiary of the Company.

The Company has received an "Initial Determination Order" from ITC.

The details as required under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD/4/2015 dated 9<sup>th</sup> September, 2015 are given in Annexure A.

A copy of the earlier letter dated 29<sup>th</sup> August, 2018 is enclosed as Annexure B.

This is for your information.

Kindly acknowledge receipt.

Yours faithfully,  
For MAHINDRA & MAHINDRA LIMITED

  
NARAYAN SHANKAR  
COMPANY SECRETARY

Encl.: as above

**Annexure A**

Sr. No	Regularly till the litigation is concluded or dispute is resolved :	
(a)	the details of any change in the status and / or any development in relation to such proceedings;	In the complaint filed by Fiat Chrysler (FCA) before the United States International Trade Commission (ITC) the administrative law judge has passed an initial determination order. The Order holds that the complaint filed by FCA will not be dismissed at this stage, as sought, and that the investigation by the ITC will continue.
(b)	in the case of litigation against key management personnel or its promoter or ultimate person in control, regularly provide details of any change in the status and / or any development in relation to such proceedings.	Not Applicable
(c)	in the event of settlement of the proceedings, details of such settlement including - terms of the settlement, compensation/penalty paid (if any) and impact of such settlement on the financial position of the Company.	Not Applicable



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29<sup>th</sup> August, 2018

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Dear Sirs,

The details as required under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD/4/2015 dated 9<sup>th</sup> September, 2015 are given below.

Sr. No	At the time of becoming the party:	
(a)	brief details of litigation viz. name(s) of the opposing party, court/tribunal/agency where litigation is filed, brief details of dispute/litigation;	<p>(i) A complaint was filed by Fiat Chrysler Automobile US, LLC ("Fiat") with the United States International Trade Commission ("ITC") against the Company.</p> <p>The complaint alleges that certain design features of the Mahindra ROXOR infringe the intellectual property rights of Fiat's Jeep design as it was modelled after the original Willys Jeep.</p> <p>The Company believes that the complaint is without merit.</p> <p>In response, the Company and Mahindra Automotive North America ("MANA"), a subsidiary of the Company, have filed a Public Interest Statement with the ITC. This Statement expresses the Company's position on this matter.</p>

		<p>(ii) The Company and MANA have commenced a proceeding in the Federal District Court at Michigan to enforce the Grill Design Agreement that the Company had executed with Fiat in 2009 and to seek an injunction against Fiat from proceeding with the ITC complaint that has been filed by it.</p> <p>A copy of the public statement being issued by the Company in this regard is enclosed as Annexure A.</p>
(b)	<p>expected financial implications, if any, due to compensation, penalty etc;</p>	<p>From the reading of the filing of Fiat, they have sought an order for permanently restraining the Company from exporting, and MANA from importing, any parts or components which are infringing upon Fiat's intellectual property rights in to the US.</p> <p>There is no monetary claim such as compensation, damages, etc. sought by them. However, if they succeed in getting a permanent injunction from the Company exporting infringing parts or components to the US and MANA importing the same in to the US, the Company will not be able to sell such parts or components to the US and the sale of such parts or components by the Company to MANA will be affected.</p>
(c)	<p>quantum of claims, if any;</p>	<p>There are no monetary claims in the proceeding.</p>

This is for your information.

Kindly acknowledge receipt.

Yours faithfully,  
For MAHINDRA & MAHINDRA LIMITED

  
NARAYAN SHANKAR  
COMPANY SECRETARY

Encl.: as above



Public Statement

A complaint was filed by FCA US, LLC ("Fiat") with the United States International Trade Commission ("ITC") against Mahindra which we believe is without merit. In response, we have taken a number of actions both within the ITC and in Federal District Court that we would like to share with you. Mahindra filed a Public Interest Statement with the ITC on August 22, 2018. This Statement expresses our position on this matter and explains how it is in the public interest for the ITC to rule against Fiat and in favor of Mahindra.

Our goals on the public interest statement were two fold. One was to state our position on the merits and the other was to correct inaccuracies regarding Mahindra as a company and the ROXOR as a product. We set the record straight on the history of Mahindra, including its U.S. operations. We also demonstrated that the ROXOR is a vehicle that was always intended only as off-road, does not compete with Fiat vehicles, is manufactured and assembled in the first OEM plant to be built in Michigan in the last 25 years, was the result of more than three years of research and development, and categorically rejected the notion that the ROXOR was an imported low quality "knock-off" kit car.

On August 23, 2018, Mahindra filed a complaint in Federal Court in Michigan on the issue of the applicability and enforcement of our 2009 agreement with Fiat. We are asking the court to block Fiat from participating in the ITC claim - an injunction - because of the fact that they agreed in 2009 to never bring such claims if we use a grille that they approved. The ROXOR uses that grille. We are also arguing that Fiat is using the ITC case to harm our ROXOR business by creating negative publicity, damaging our reputation and our stature in the marketplace.

